

PERIOD, INDEX AND POTENTIAL SHA

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ABSTRACT. In this paper we advance the theory of O’Neil’s period-index obstruction map and derive consequences for the arithmetic of genus one curves over global fields. Our first result implies that for every pair of positive integers (P, I) with $P \mid I \mid P^2$, there exists a number field K and a genus one curve C/K with period P and index I . Second, let E/K be any elliptic curve over a global field K , and let $P > 1$ be any integer indivisible by the characteristic of K . We construct infinitely many genus one curves C/K with period P , index P^2 , and Jacobian E . We deduce strong consequences on the structure of Shrevich-Tate groups under field extension.

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1. INTRODUCTION

1.1. Notation and conventions.

Throughout the paper K shall denote a global field — i.e., a finite field extension of either \mathbb{Q} or $\mathbb{F}_p(T)$ — and E shall denote an elliptic curve defined over K .

Let P be a positive integer which is *not* divisible by the characteristic of K . We define P^* to be P if P is odd and $2P$ if P is even.

Let \overline{K} denote a fixed *separable* closure of K , and let $\mathfrak{g}_K = \text{Aut}(\overline{K}/K)$ be the absolute Galois group of K .

We abbreviate the Galois cohomology group $H^1(\mathfrak{g}_K, E(\overline{K}))$ to $H^1(K, E)$ and call it the **Weil-Châtelet group** of E over K . Recall that this is a torsion abelian group.

The letter η shall denote an element of $H^1(K, E)$. Such classes η are in canonical bijection with the set of pairs (C, ι) , where C/K is a genus one curve and $\iota : \text{Pic}^0(C) \rightarrow E$ is an isomorphism from the Albanese/Picard variety of C to E . In other words, ι endows C with the structure of a principal homogeneous space (or torsor) under E . It follows that C/K itself determines, and is determined by, an orbit of $\text{Aut}(E)$ on $H^1(K, E)$.

The **period** of $\eta \in H^1(K, E)$ is its order in the group. In terms of the corresponding torsor (C, ι) , the period is the least positive degree of a K -rational divisor class on C . The **index** of η is the gcd over all degrees $[L : K]$ of field extensions L/K such that the restriction of η to $H^1(L, E)$ is trivial. In terms of (C, ι) , the index is the least degree of a K -rational divisor. By Riemann-Roch, it is also the least degree of an extension L/K such that C has an L -rational point.

Notice that both the period and the index of (C, ι) depend only on the underlying curve C . Therefore no harm will come from the abuse of language “the cohomology class η corresponding to C/K ,” and we shall use this simplified language in the sequel.

We denote by Σ_K the set of all places of K (including Archimedean places in the number field case). For a place v of K , we denote the image of a class $\eta \in H^1(K, E)$ under the local restriction map $H^1(K, E) \rightarrow H^1(K_v, E)$ by η_v . In geometric terms, η_v is just the base extension of the curve (or rather, the principal homogeneous space...) C from K to K_v . By the **support** of a class we mean the finite set of $v \in \Sigma_K$ such that $\eta_v \neq 0$. The classes η with empty support form a subgroup $\text{III}(K, E)$, the **Shafarevich-Tate group** of E/K .

1.2. Statement of the main results.

Theorem 1. *Suppose $\#E(K)[P^*] = (P^*)^2$. Then, for any positive integer $D \mid P$, there are infinitely many classes $\eta \in H^1(K, E)$ of period P and index $P \cdot D$. These classes can be chosen so as to be locally trivial except possibly at two places of K .*

Theorem 2. *Let E/K be an elliptic curve and $S_K \subset \Sigma_K$ a finite set of places of K . There exists an infinite sequence $\{\eta_i\}_{i=0}^\infty$ of elements of $H^1(K, E)$ such that:*

- $\eta_0 = 0$.
- For all $v \in S_K$ and all $i \in \mathbb{N}$, $\text{res}_v \eta_i = 0$.
- For all $i, j \in \mathbb{N}$ with $i \neq j$, $\eta_i - \eta_j$ has period P and index P^2 .

Theorem 3. *For any positive integer r , there exists a degree P field extension L/K such that $\text{III}(L, E)$ contains at least r elements of order P .*

1.3. Discussion of the results.

Let C be a genus one curve over an arbitrary field K . It is well known (e.g, [Cla3, Cor. 13]) that the period P and the index I of C satisfy the divisibilities

$$(1) \quad P \mid I \mid P^2.$$

In their seminal 1958 paper [LT], Lang and Tate showed that for any pair (P, I) of positive integers satisfying (1), there exists a genus one curve C defined over the iterated Laurent series field $\mathbb{C}((t_1))((t_2))$ with period P and index I .

This raises the question of the possible values of P and I for genus one curves over a local or global field. Lichtenbaum [Lic] showed that $P = I$ for every genus one curve over a nondiscrete, locally compact field.¹

Suppose K is a field which admits at least one degree P cyclic extension and such that there exists an elliptic curve E/K with full P -torsion: $\#E[P](K) = P^2$. Then Lang and Tate were able to show that there exists a class $\eta \in H^1(K, E)$ with period and index both equal to P .

Let us assume henceforth that K is a global field. In this case, the argument of Lang and Tate readily yields the fact that η may be taken to have support at at most one place of K .

Conversely, Cassels [CasIV, Theorem 1.3] showed that $I = P$ for classes with empty support. Moreover $I = P$ for classes whose support has cardinality one, as was first shown by L. Olson [Ols, Thm. 15] and more recently “rediscovered” by the first author [Cla2, Prop. 6].

The first examples of genus one curves over a global field with $I > P$ are due to Cassels [CasV], who found examples over $K = \mathbb{Q}$ with $P = 2$, $I = 4$. Cassels’ examples are closely related to the theory of explicit 2-descent, a connection which is reconsidered in a forthcoming work of the first author [Cla4]. More recently, the first author constructed, for any prime number p , classes η with $P = p$, $I = p^2$ in the Weil-Chat  let group of any elliptic curve E/K over a number field with full p -torsion [Cla1, Theorem 3]. The method crucially employs a period-index obstruction map due to C.H. O’Neil [O’N].

Our Theorem 1 is therefore to be viewed as a substantial generalization of [Cla1, Theorem 3]. In particular, we now know that any pair (P, I) satisfying (1) arises

¹More precisely, Lichtenbaum proved this under the assumption that P is not divisible by the characteristic of K – the same assumption which is in force for us – but Milne later extended Tate’s local duality theory to this case [Mil] and accordingly was able to remove this hypothesis.

as the period and index of a genus one curve defined over some number field (depending on P). Moreover, the fact that we can construct such classes which are supported at two places is, in view of the aforementioned results of Cassels and Olson, optimal, and answers a question raised by M. Čiperiani.

Having established Theorem 1, we naturally wish to understand the possible values of period and index for genus one curves defined over a *fixed* global field K , or—better yet—inside the Weil-Châtelet group $H^1(K, E)$ of a fixed elliptic curve E/K .

Our Theorem 2 shows that for any elliptic curve E over a global field K and any $P > 1$ indivisible by the characteristic of K , there exist infinitely many genus one curves with period P , index P^2 and Jacobian E . Of course the statement of Theorem 2 is significantly more complicated than this, and its significance is probably hard to appreciate. However, we need this precise statement, especially the “difference properties” of the sequence $\{\eta_i\}$, in the proof of Theorem 3.

In order to place Theorem 3 into context, let us again recall some prior results, this time on the problem of constructing “large Shafarevich-Tate groups.” More precisely, we fix a global field K , an integer $P > 1$ and a positive integer r , and the goal is prove the existence of an elliptic curve E/K whose Shafarevich-Tate group $\text{III}(K, E)$ contains at least r elements of order P .

The first results here are due to Cassels [CasVI], who in 1984 solved the aforementioned problem for $K = \mathbb{Q}$ and $P = 3$. (This was also the first proof of the weaker fact that $\text{III}(\mathbb{Q}, E)$ is unbounded as E ranges over all elliptic curves E/\mathbb{Q} .) Cassels’ examples all have $j = 0$ and exploit the extra structure on such curves afforded by the existence of an order 3 automorphism. The problem has also been solved for $P = 2$ by Bölling [Böl], and for $P = 5$ by Fischer [Fis]. In his 2003 Georgia PhD thesis, Steve Donnelly established the result for $P = 7$. Among prime values of P , this is a transitional case: the modular curve $X(P)$ has genus 0 precisely for $P = 2, 3, 5$, a phenomenon which the aforementioned proofs implicitly take advantage of. Now $X(7)$ is Klein’s quartic curve (of genus 3) but at least $X_1(7)$ still has genus zero. For prime $P > 7$ no elliptic curve E/\mathbb{Q} has a rational P -torsion point, a difficulty which seems insurmountable by present methods.

So, reasonably, there has also been some work showing that either the p -Selmer group $\text{Sel}^p(K, E)$ or $\text{III}(K, E)[p]$ can be made arbitrarily large when one varies over all elliptic curves E defined over number fields K whose degree $[K : \mathbb{Q}]$ is bounded by a certain function of P . Notably, R. Kloosterman and E. Schaefer showed [KS] that $\dim_{\mathbb{F}_p} \text{Sel}^p(K, E)$ is unbounded as K ranges over all field extensions K/\mathbb{Q} of degree $f_1(p) = O(p)$; later Kloosterman showed [Klo] that $\dim_{\mathbb{F}_p} \text{III}(K, E)[p]$ is unbounded as K ranges extensions of degree $f_2(p) = O(p^4)$.

In [Cla1, Thm. 1], the first author showed that if $\#E(K)[p] = p^2$, $\text{III}(L, E)[p]$ is unbounded as L ranges over all degree p field extensions. The argument can be applied to any elliptic curve defined over a global field (of characteristic not divisible by p) at the cost of first trivializing the Galois action on the p -torsion. We deduced that, for every E/K , $\text{III}(L, E)[p]$ is unbounded as L ranges over extensions

of degree at most $f_3(p) = p(p^2 - 1)(p^2 - p) \leq p^5$. Moreover, upon restricting to elliptic curves with potential complex multiplication, one gets the bound $f_4(p) \leq 2p^3$.

In contrast, our Theorem 3 extends the bound $[L : K] = P$ of [Cla1, Thm. 1] to *all* elliptic curves and all integers $P > 1$. An interesting question (which we are not able to answer) is whether Theorem 3 is in fact the optimal result of its kind.

1.4. Remarks on prior individual work.

Each of the authors did substantial work on the period-index problem for genus one curves before entering into this collaboration. But whereas the first author's prior work has already been published [Cla1], [Cla3], [Cla2], the second author's work was done as part of his 2006 Berkeley thesis [Sha1]. Upon reading [Sha1], the first author saw the prospect for some additional improvements, at which point the collaboration began. The present paper thus includes both work of the second author's thesis as well as some further results which were obtained in collaboration. The first author wishes to make sure that the second author's innovative and technically powerful contributions receive their due credit, so we have decided to depart from usual practice and be rather specific about the individual contributions.

The first statement of Theorem 1 appears as [Cla1, Theorem 3] under the additional assumption that P is prime. The general case of Theorem 1 appears [Sha1, Theorem 4.2]. Moreover, in [Sha1] the second author developed new techniques to circumvent the rationality of the P -torsion and was able to give examples of $I = P^2$ over \mathbb{Q} for all odd P . Theorem 2 is the heart of the collaboration (as well as the paper): the first author supplied the statement and some strategic suggestions, whereas the argument itself was supplied by the second author, roughly along the lines of the special case appearing in [Sha1]. The deduction of Theorem 3 from Theorem 2 is due to the first author.

1.5. Organization of the paper.

We assume some familiarity with the literature on the period-index problem, especially [O'N] and [Cla1]; nevertheless, we begin with a brief review of the period-index obstruction map, and then go on to discuss some new ideas and techniques. The first key point is a clarification of the relationship between O'Neil's obstruction map Δ and the quantity I/P . Whereas before it had been implicit in [O'N] (and explicit in [Cla1]) that one can use Δ to determine whether or not $I = P$, here we present a simple characterization of I/P in terms of the obstruction to a rational divisor class being represented by a rational divisor. We also return to the point of the explicit computation of O'Neil's obstruction map in the case full level N structure for even N . These matters are detailed in Section 2.

In Section 3 we give the proofs of Theorems 1, 2 and 3.

In Section 4, we survey what remains to be done on the period-index problem for curves of genus one, and formulate several open problems.

2. ON THE PERIOD-INDEX OBSTRUCTION MAP

In this section K is an arbitrary field, E/K is an elliptic curve, and P is a positive integer not divisible by the characteristic of K . These hypotheses ensure that the finite flat K -group scheme $E[P]$ is étale, so may be viewed as a \mathfrak{g}_K -module.

2.1. Three aspects of the period-index obstruction map. The object of our affections is the **period-index obstruction map**

$$\Delta_P : H^1(K, E[P]) \rightarrow \mathrm{Br}(K).$$

It can be defined in three different ways (and much of its utility comes from passage between the various definitions), as we now recall (cf. [O’N], [Cla1], [Cla3]).

1) For any ample line bundle L on an abelian variety A/K , the functor \mathcal{G}_L which associates to a K -scheme S the group of all isomorphisms $(x, \psi) : L/S \xrightarrow{\sim} \tau_x^*(L/S)$ between L/S and one of its translates is represented by a K -group scheme, Mumford’s **theta group**. The subgroup of automorphisms of L gives rise to an embedding $\mathbb{G}_m \hookrightarrow \mathcal{G}_L$. The quotient is canonically isomorphic to $\kappa(L)$, the kernel of the canonical homomorphism

$$\varphi_L : A \rightarrow A^\vee, x \mapsto \tau_x^*(L) \otimes L^{-1}.$$

Here A will be an elliptic curve and L will be the line bundle associated to the divisor $P[O]$ on E ; then $\kappa(L) = E[P]$.

Proposition 4. *For $n \geq 2$ we have the following commutative diagram of group schemes:*

$$(2) \quad \begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{G}_m & \longrightarrow & \mathcal{G}_L & \longrightarrow & E[P] \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathbb{G}_m & \longrightarrow & \mathrm{GL}_P & \longrightarrow & \mathrm{PGL}_P \longrightarrow 0 \end{array}$$

Proof. This is Proposition 2.1 in [O’N]. For our purposes, we will only need to know the vertical map on the right. We view $E[P]$ as an automorphism group for diagrams $E \rightarrow \mathbb{P}^{P-1}$ —that is, an element of $E[P]$ acts on the global sections of the line bundle $\mathcal{L}(P[O])$, and thus induces an automorphism of \mathbb{P}^{P-1} . This gives an element of PGL_P as required. \square

The machinery of nonabelian Galois cohomology [Ser] supplies a connecting map from $H^1(K, E[P]) \rightarrow H^2(K, \mathbb{G}_m)$. After identifying the latter with $\mathrm{Br}(K)$, this gives our first definition of Δ_P .

2) On any nonsingular, complete, geometrically integral variety V/K there is an exact sequence (e.g. [BLR, §9.1])

$$(3) \quad 0 \rightarrow \mathrm{Pic}(V) \rightarrow \mathbf{Pic}(V)(K) \xrightarrow{\delta_V} \mathrm{Br}(K) \xrightarrow{\gamma} \mathrm{Br}(V).$$

In particular, given a K -rational divisor class D on V , the obstruction to V being represented by a K -rational divisor is an element of $\mathrm{Br}(K)$. A Galois descent argument (e.g. [Cla3, Prop. 28]) shows that $H^1(K, E[P])$ classifies pairs (C, D) —where $C \in H^1(K, E)$ and $D \in \mathbf{Pic}^P(C)(K)$ is a K -rational divisor class—modulo

the relation $(C, D) \sim (C', D')$ if there exists an isomorphism of torsors $f : C \rightarrow C'$ with $f^*D' = D$. One may then define

$$\Delta_P((C, D)) = \delta_C(D).$$

3) On the other hand, $H^1(K, E[P])$ classifies K -morphisms $\varphi : C \rightarrow V$, where $C \in H^1(K, E)$ and V is a twisted form of \mathbb{P}^{P-1} . We may then define $\Delta_P(\varphi : C \rightarrow V)$ as the class of V in $\text{Br}(K)$.

It follows from 3) that $\Delta_P(H^1(K, E[P]))$ consists of elements of $\text{Br}(K)$ whose *index* divides P ; *a fortiori* we have the important relation

$$\Delta_P(H^1(K, E[P])) \subset \text{Br}(K)[P].$$

2.2. Lichtenbaum-Tate Duality.

As above, we let E be an elliptic curve defined over an arbitrary field K , and now let n denote a positive integer indivisible by the characteristic of K .² We have the **Kummer sequence**

$$(4) \quad 0 \rightarrow E(K)/nE(K) \xrightarrow{\iota} H^1(K, E[n]) \rightarrow H^1(K, E)[n] \rightarrow 0.$$

Using ι and Δ , we define a map $\text{Li} : H^1(K, E[n]) \times E(K) \rightarrow \text{Br}(K)$,

$$\text{Li}(\xi, x) = \Delta(\xi + \iota(x)) - \Delta(\xi) - \Delta(\iota(x)).$$

Since $\Delta(\iota(E(K)/nE(K))) = 0$, Li depends only on the image of ξ in $H^1(K, E)[n]$ and on the image of x in $E(K)/nE(K)$, i.e., it descends to give a map

$$(5) \quad \text{Li} : H^1(K, E)[n] \times E(K)/nE(K) \rightarrow \text{Br}(K)[n].$$

Theorem 5. (*Lichtenbaum*) *The map Li coincides with the Tate pairing T .*

This has two immediate, and important, consequences. First, since T is bilinear, so is Li , and this means (by definition) that Δ itself is a quadratic map. Secondly, if K is complete, discretely valued, and with finite residue field, then $\text{Br}(K)[n] = (\frac{1}{n}\mathbb{Z})/\mathbb{Z}$, and Li puts the finite abelian groups $H^1(K, E)[n]$ and $E(K)/nE(K)$ in Pontrjagin duality.³

2.3. Theta functoriality.

Let η be a class in $H^1(K, E)[n]$. By a **Kummer lift** of η we mean a class $\xi \in H^1(K, E[n])$ whose image under the canonical map $H^1(K, E[n]) \rightarrow H^1(K, E)[n]$ is η . Of course, the exactness of the Kummer sequence (4) means that η has at least one Kummer lift. Following O'Neil and Clark, we attempt to use the obstruction maps Δ to study the discrepancy between the period and the index of η .

However, in [Cla1] we only considered the case where n is equal to the period P of η . But certainly we can also choose Kummer lifts $\xi_n \in H^1(K, E[n])$ whenever n is any multiple of the period of η , and it turns out to be quite useful to do so, and

²Thus n satisfies exactly the same requirements as our “fixed” positive integer P . The merit of considering both “fixed P ” and “variable n ” will become clear in the next section.

³The equality of period and index in this context follows almost immediately [Lic2].

in particular to compare various obstruction maps Δ_n of differing levels. Geometrically speaking this amounts to considering along with the theta group \mathcal{G}_L of our fixed line bundle $L = L(P[O])$ the theta groups of all tensor powers L^n of L and various natural homomorphisms between them. The study of such homomorphisms is indeed an integral part of Mumford's theory.

So let m be yet another positive integer indivisible by the characteristic of K . The natural inclusion $E[P] \hookrightarrow E[mP]$ of \mathfrak{g}_K -modules induces a map

$$j_m : H^1(K, E[P]) \rightarrow H^1(K, E[mP]).$$

Under the interpretation (2) of $H^1(K, E[N])$ as equivalence classes of pairs (C, D) , where $C \in H^1(K, E)$ and $D \in \mathbf{Pic}^N(C)$, j_m is the map $(C, D) \mapsto (C, mD)$. Similarly, multiplication by m induces a map

$$[m] : H^1(K, E[mP]) \rightarrow H^1(K, E[P]).$$

Proposition 6. *If $\xi \in H^1(K, E[P])$ and $\eta \in H^1(K, E[mP])$, then:*

- a) $\Delta_{mP} j(\xi) = m \Delta_P(\xi)$, and
- b) $m \Delta_{mP} \eta = \Delta_P([m]\eta)$.

Proof. Mumford shows [Mum, p. 309–310] that both j and $[m]$ extend to morphisms of the theta group sequences:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{G}_m & \longrightarrow & \mathcal{G}_L & \longrightarrow & E[P] \longrightarrow 0 \\ & & \downarrow [m] & & \downarrow \epsilon_m & & \downarrow j \\ 0 & \longrightarrow & \mathbb{G}_m & \longrightarrow & \mathcal{G}_{L^m} & \longrightarrow & E[mP] \longrightarrow 0 \end{array}$$

and

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{G}_m & \longrightarrow & \mathcal{G}_{L^m} & \longrightarrow & E[mP] \longrightarrow 0 \\ & & \downarrow [m] & & \downarrow \eta_m & & \downarrow [m] \\ 0 & \longrightarrow & \mathbb{G}_m & \longrightarrow & \mathcal{G}_L & \longrightarrow & E[P] \longrightarrow 0 \end{array}$$

In each case the restriction to \mathbb{G}_m is simply the m th power map. We remark that the map $\epsilon_m : \mathcal{G}_L \rightarrow \mathcal{G}_{L^m}$ is relatively straightforward to define: an isomorphism $\psi : L \xrightarrow{\sim} \tau_x^* L$ induces, by passage to the m th power, a canonical isomorphism $\psi^{\otimes m} : L^m \xrightarrow{\sim} \tau_x^*(L^m)$, so $\epsilon_m : (x, \psi) \mapsto (x, \psi^m)$. These commutative ladders induce commutative ladders in nonabelian Galois cohomology, and the commutativity of these last two diagrams gives the desired result. \square

2.4. Applications to the quantity I/P .

We begin with the following result, which was known to O'Neil:

Proposition 7. ([Cla1, Theorem 5]) *Let E/K be an elliptic curve over a field K , and P a positive integer indivisible by the characteristic of K . Let $\eta \in H^1(K, E)$ be of period P . The following are equivalent:*

a) η has index P .

b) There exists some Kummer lift ξ of η such that $\Delta_P(\xi) = 0$.

Proof. Indeed, in light of the second definition of Δ_P , both conditions express the fact that C admits a rational divisor of degree P . \square

We are therefore interested in the remaining case in which $\Delta_P(\xi) \neq 0$ for every Kummer lift ξ of η .

Let C/K be a curve of any genus, of period P and index I . Referring back to (3), we may define the **relative Brauer group** $\kappa(C/K) = \text{Im}(\delta) = \text{Ker}(\gamma)$. For any $n \in \mathbb{Z}$, define moreover $\kappa^n(C/K) = \delta_C(\mathbf{Pic}^n(C)(K))$.

Proposition 8. *The quotient $\kappa(C/K)/\kappa^0(C/K)$ is cyclic of order I/P .*

This is a reasonably well-known result – c.f. [ÇK, Thm. 2.1.1], [Cla3, Prop. 24] – the standard proof of which employs a snake lemma argument. But the following proof offers some additional insight.

Proof. By definition of P we have $\mathbf{Pic}^n(C)(K) = \emptyset$ unless n is a multiple of P , so

$$\begin{aligned} \kappa(C/K) &= \delta_C(\mathbf{Pic}(C)(K)) = \delta_C\left(\bigcup_{n \in \mathbb{Z}} \mathbf{Pic}^{nP}(C)(K)\right) \\ &= \bigcup_{n \in \mathbb{Z}} \delta(\mathbf{Pic}^{nP}(C)(K)) = \bigcup_{n \in \mathbb{Z}} \kappa^{nP}(C/K). \end{aligned}$$

Choose a rational divisor class D of degree P ; this in turn determines a rational divisor class of each degree nP , namely $D_{nP} = nD$. Put $\alpha = \delta_C(D)$, so that $\delta_C(D_{nP}) = n\alpha$. Adding D_{nP} induces a bijection of sets $\mathbf{Pic}^0(C)(K) \rightarrow \mathbf{Pic}^{nP}(C)$, and exhibits

$$\kappa^{nP}(C/K) = n\alpha + \kappa^0(C/K)$$

as a coset of the subgroup $\kappa^0(C/K)$ of $\text{Br}(K)$. This shows that $\kappa(C/K)$ is the subgroup generated by α and $\kappa^0(C/K)$. Moreover, C admits a rational divisor of degree nP if and only if $0 \in \kappa^{nP}(C/K)$ if and only if $n\alpha \in \kappa^0(C/K)$. The quantity I/P is the least such value of n , i.e., the order of

$$\langle \alpha + \kappa^0(C/K) \rangle / \kappa^0(C/K) = \kappa(C/K) / \kappa^0(C/K).$$

\square

Proposition 9. *Let $\eta \in H^1(K, E)$ be a class with period P and index I , and let ξ be any Kummer lift of η . Then*

$$(6) \quad I/P \leq \min_{x \in E(K)/PE(K)} \#\Delta_P(\xi + x).$$

Proof. As x runs through $E(K)/PE(K)$, the elements $\xi + x$ run through all Kummer lifts of η . For any Kummer lift ξ , let $D = \#\Delta_P(\xi)$. Then $\Delta_{PD}(i(\xi)) = D\Delta_P(\xi) = 0$, so that there is a rational divisor of degree PD on the corresponding torsor, and $I \leq PD$. \square

Concerning the inequality (6), Proposition 7 asserts that the left hand side equals 1 if and only if the right hand side equals 1. When $P = p$ is prime, we have a simple dichotomy: either $I/P = 1$ or $I/P = p$, so equality holds in (6) when the period is prime, a fact which was exploited in [Cla1]. By a primary decomposition argument, we also have equality when P is squarefree. It is not hard to see that equality holding in (6) is equivalent to the *splitting* of the short exact sequence

$$(7) \quad 0 \rightarrow \kappa^0(C/K) \rightarrow \kappa(C/K) \rightarrow Q \rightarrow 0,$$

where the last term Q is cyclic of order $\frac{I}{P}$. It is natural to wonder whether this sequence *always* splits. This innocuous-looking question lies at the heart of the relationship between the period, the index and the period-index obstruction map, and it turns out to be surprisingly difficult. We are inclined to believe that the answer is in general negative. However it is possible to show that equality holds for certain specially constructed classes. In the proofs of the main theorems we use Lichtenbaum-Tate duality to ensure equality, following [Sha1].

2.5. The case of full level P structure.

In this section we assume that $E[P](\overline{K}) \subset E(K)$. By the theory of the Weil pairing, the P th roots of unity μ_P are contained in K . Fix a basis (S, T) for $E[P]$ once and for all. Note that this induces, via the Weil pairing, a basis for μ_P — i.e., a specific primitive P th root of unity $\zeta = e_P(S, T)$. After making this choice, we get an isomorphism

$$(8) \quad \Phi : H^1(K, \mu_P) \times H^1(K, \mu_P) \xrightarrow{\sim} H^1(K, E[P]).$$

The composition of the cup product with the map $\mu_P \otimes \mu_P \rightarrow \mu_P$ given by $\zeta^a \otimes \zeta^b \mapsto \zeta^{ab}$ gives a pairing

$$\langle \cdot, \cdot \rangle_P : H^1(K, \mu_P) \times H^1(K, \mu_P) \rightarrow H^2(K, \mu_P) = \text{Br}(K)[P],$$

the **level P norm residue symbol** (or **Hilbert symbol**) [Ser, p. 207].

Via the canonical Kummer isomorphism $H^1(K, \mu_P) = K^\times / K^{\times P}$, we may equally well view Φ and $\langle \cdot, \cdot \rangle_P$ as maps defined on $(K^\times / K^{\times P})^2$.

Theorem 10. *If $E[P^*] \subset E(K)$, then $\Delta_P = \langle \cdot, \cdot \rangle_P$.*

As a prelude to the proof, we consider the **special theta group**. Recall the theta group scheme \mathcal{G}_L , where L is the class of $P[O]$. We found a homomorphism from \mathcal{G}_L to GL_P . Let \mathcal{S}_L be the fiber product $\mathcal{G}_L \times_K \text{SL}_P$, where $\text{SL}_P \subset \text{GL}_P$ is the special linear group. Then we have an exact sequence

$$0 \rightarrow \mu_P \rightarrow \mathcal{S}_L \rightarrow E[P] \rightarrow 0,$$

where the maps are the restrictions of the maps in (2). If we identify $H^2(K, \mu_P)$ with $(\text{Br } K)[P]$, then the coboundary $H^1(K, E[P]) \rightarrow H^2(K, \mu_P)$ is the obstruction map. Let $c : H^0(K, E[P]) \rightarrow H^1(K, \mu_P)$ be the lower dimension coboundary. Define

$$d : H^1(K, E[P]) \rightarrow (\text{Br } K)[P]$$

to be given by $d\xi(\sigma, \tau) = c(\xi(\tau))(\sigma)$. (Note that since $E[P]$ is a trivial Galois module, each cohomology class in $H^1(K, E[P])$ consists of a single cocycle.) Then

Lemma 11. $\Delta = \langle \cdot, \cdot \rangle + d$.

Proof. As mentioned above, we have earlier shown [Cla1, Thm. 6] that $\Delta - \langle \cdot, \cdot \rangle$ is a homomorphism of groups. Therefore it suffices to prove the claim for any subset of $H^1(K, E[n])$ which generates the group. We will consider the subset given by the images of $H^1(K, \mathbb{Z}/n\mathbb{Z})$ induced by the two maps $(1 \mapsto S)$ and $(1 \mapsto T)$. By symmetry, it suffices to consider the case $(1 \mapsto S)$ only. Let $a \in \text{Hom}(\mathfrak{g}_K, \mathbb{Z}/n\mathbb{Z})$, and let ξ be the image of a under the map $(1 \mapsto S)$. Clearly $\langle \xi \rangle = 0$. Map S down to $PGL_n(K)$, then lift to an element M_S in $SL_n(\overline{K})$. We set $M_{aS} = M_S^a$. Note that since $\det M_S = 1$ and P has order n , we must have $M_S^n = I$. Then

$$\begin{aligned} (\Delta \xi)(\sigma, \tau) &= M_S^{a(\sigma)} \sigma M_S^{a(\tau)} M_S^{-a(\sigma\tau)} \\ &= M_S^{a(\sigma)} a(\tau) \cdot c(S)(\sigma) M_S^{a(\tau)} M_S^{-a(\sigma\tau)} \\ &= a(\tau) \cdot c(S)(\sigma) \\ &= c(\xi(\tau))(\sigma) \\ &= d\xi(\sigma, \tau) \end{aligned}$$

The second equality follows from the fact that $c(S)(\sigma) = \sigma M_S M_S^{-1}$. \square

Lemma 12. $2d = 0$.

Proof. It suffices to show that $2c = 0$. Let ι be the group inverse map on $E[P]$. According to [Mum, p. 308], ι extends to a map on the theta group \mathcal{G}_L which acts as the identity on \mathbb{G}_m . We restrict ι to \mathcal{S}_L . By the functoriality of c , if $x \in H^0(K, E[P]) = E[P]$, then $c \circ \iota(x) = c(x)$. But $c \circ \iota(x) = c(-x) = -c(x)$, which proves the claim. \square

Proof of Theorem 10. If P is odd, then $H^1(K, \mu_P)$ has trivial 2-torsion. Therefore Lemma 12 implies that $d = 0$. By Lemma 11, the conclusion follows.

Now suppose P is even. According to [Mum, p. 310], there is a map $\eta_2 : \mathcal{G}_{L^2} \rightarrow \mathcal{G}_L$ which, upon restriction to the subgroup schemes \mathcal{S}_L and \mathcal{S}_{L^2} , induces the commutative diagram

$$\begin{array}{ccc} H^0(K, E[2P]) & \xrightarrow{c} & H^1(K, \mu_{2P}) \\ \downarrow [2] & & \downarrow [2] \\ H^0(K, E[P]) & \xrightarrow{c} & H^1(K, \mu_P) \end{array}$$

By the proof of Lemma 12, $[2] \circ c$ is the zero map. Therefore $c \circ [2]$ is zero. The hypothesis $E[2P] \subset E(K)$ implies that the left hand map above is surjective, and therefore the lower map c is zero. By Lemma 11, the result follows. \square

3. PROOFS OF THEOREMS 1, 2 AND 3

We first remind the reader of a standard trick: in all work on the period-index problem it suffices to treat the case where the period P is a prime power $P = p^a$. Indeed, if a class $\eta \in H^1(K, E)$ (or any other Galois cohomology group, for that matter) has period $P = p_1^{a_1} \cdots p_r^{a_r}$, then putting $\eta_i = \frac{P}{p_i^{a_i}} \eta$, one easily checks that

$\eta = \sum_{i=1}^r \eta_i$ and that $I(\eta) = \prod_{i=1}^r I(\eta_i)$ (i.e., the index of η is the product of the indices of the classes η_i). The advantage of reducing to the case $P = p^a$ is that then the index $I = p^b$ for $a \leq b \leq 2a$ and then for any $D = p^c$, if the index I is less than DP , then indeed I is a proper divisor of DP .

3.1. Conditions on prime ideals and their generators. Several times in the proofs we will be choosing pairs of prime ideals v, v' of \mathfrak{o}_K so as to satisfy certain conditions. Let us first say that a prime ideal v of K is **bad** (for E and $P = p^a$) if v is Archimedean, v divides p , or E has bad reduction at v , and is **good** otherwise. All but finitely many primes are good.

The other conditions we will impose on v and v' can all be achieved by using the Chebotarev density theorem. The conditions are

- (SC1) The primes $v = (\pi)$ and $v' = (\pi')$ are principal, with totally positive generators π and π' .
- (SC2) All elements of $E(K)$ are P -divisible in $E(K_v)$.
- (SC3) The generators π and π' lie in $K_w^{\times P}$ for all bad primes w .
- (SC4) The order of the image of π' in $K_v^{\times} / K_v^{\times P}$ is P .

Lemma 13. *There exist infinitely many pairs of primes $v = (\pi)$ and $v' = (\pi')$ satisfying conditions (SC1)–(SC4).*

Proof. Condition (SC1) is equivalent to v and v' splitting completely in the Hilbert class field of K . Condition (SC2) is equivalent to v splitting completely in $K([P]^{-1}E(K))$, the field obtained by adjoining to K all points $Q \in E(\overline{K})$ such that $[P]Q \in E(K)$. (Recall that $K([P]^{-1}E(K))$ is a finite abelian extension of K unramified at the bad primes (e.g. [Sil, p.194]).)

Let \mathfrak{m} be the modulus given by the product of all bad primes \mathfrak{p} and P^2 . Then one can find π and π' as in (SC3) provided v and v' split completely in the ray class field for K modulo \mathfrak{m} . For if v splits completely, it has trivial Frobenius and, by class field theory, has a generator π which is congruent to 1 (mod \mathfrak{m}). The condition follows from Hensel's Lemma.

Therefore, to satisfy conditions (SC1)–(SC3), we need v and v' to split completely in the abelian extension F which is the compositum of the Hilbert class field of K , $K([P]^{-1}E(K))$, and the ray class field $K_{\mathfrak{m}}$.

Now we consider (SC4). Let α be a unit in K_v which has order P in $K_v^{\times} / K_v^{\times P}$. Let F' be the ray class field with modulus v . By class field theory, the Galois group of F'/K is isomorphic to the ideal class group with modulus v , C_v . In particular, if v' and (α) lie in the same class in C_v , then v' has a generator π' which is congruent to α (mod v), and hence satisfies (SC4).

Thus, we have reduced conditions (SC1)–(SC4) to two splitting-type conditions in the abelian extensions F and F' . It suffices to show that these splitting conditions are compatible, since then the Chebotarev density theorem shows there are infinitely many primes satisfying the conditions.

The extension F/K is unramified at v , while F'/K is unramified outside v . Therefore $F \cap F'$ is contained in the Hilbert class field of K . Any v' which lies in the same class as (α) in C_v must be principal, and hence splits in $F \cap F'$. We conclude that the splitting conditions are compatible, which proves the lemma. \square

3.2. Proof of Theorem 1.

We assume in this section that E has full level P^* -structure, and maintain the setup of §2.5. In particular, we have a fixed isomorphism

$$\Phi : (K^\times / K^{\times P})^2 \cong H^1(K, E[P]).$$

Let $v = (\pi)$ and $v' = (\pi')$ satisfy conditions (SC1)–(SC4). Put

$$\xi := \Phi(\pi^{P/D}, \pi') \in H^1(K, E[P]),$$

so by Theorem 10 we have

$$\Delta_P(\xi) = \langle \pi^{P/D}, \pi' \rangle_P \in \text{Br}(K).$$

Observe that $\Delta_P(\xi)$ is locally trivial away from π and π' . Indeed, by condition (SC3), the norm residue symbol is trivial at the Archimedean places and at the places of residue characteristic dividing P . At all other places the norm residue symbol is “tame” and hence vanishes locally at w when evaluated on a pair of w -adic units.

Let C be the genus one curve corresponding to the image η of $\xi \in H^1(K, E)[P]$. Certainly the period of η divides P . Suppose that the period of η is less than P ; then (since $p^a \eta = 0$) it has period P' for some proper divisor P' of P : $P'\xi = \iota_P(x)$. Then $\iota_P(x)$ is unramified at π' [Sil, Prop. VIII.2.1], whereas $P'\xi = (\pi^{P P'/D}, (\pi')^{P'})$ is ramified at π' , a contradiction. So C has period P . Moreover, by Proposition 6,

$$\Delta_{PD} i(\xi) = D \Delta_P(\xi) = D \langle \pi^{P/D}, \pi' \rangle_P = \langle \pi^P, \pi' \rangle_P = 0,$$

so there exists a rational divisor of degree PD on C and $I(C) \mid PD$.

Coming now to the heart of the matter, we suppose that the index I of C strictly divides PD . Then, by Proposition 7 there exists some lift ν of η to $H^1(K, E[I])$ such that $\Delta_I(\nu) = 0$. On the other hand, the local-at- π norm-residue symbol $\langle \pi^{P/D}, \pi' \rangle_{P, \pi}$ has exact order D , since, by condition (SC4), the corresponding central simple algebra trivializes over the Brauer group of an extension L/K_v if and only if π' is a norm from the extension $L(\pi^{\frac{1}{D}})/L$ if and only if $D \mid e(L/K)$. Therefore the global norm residue symbol $\langle \pi^{P/D}, \pi' \rangle_P = \Delta_P(\xi)$ has order at least D ; since $I/P < D$ we must have

$$0 \neq (I/P) \cdot \Delta_P(\xi) = \Delta_I(j_{I/P}(\xi)).$$

For the remainder of the proof we shall abbreviate $j_{I/P}(\xi)$ to $j(\xi)$. The classes $j(\xi)$ and $\nu \in H^1(K, E[I])$ are both Kummer lifts of η so there exists $x \in E(K)$ with

$$\iota_I(x) = \nu - j(\xi).$$

Applying Δ , we get

$$0 = \Delta_I(\nu) = \Delta_I(j(\xi)) + \text{Li}(j(\xi), x).$$

Now recall that (π) splits completely in $K([P]^{-1}E(K))$ by condition (SC2). This forces $E(K)$ to be divisible by P in $E(K_v)$, and in particular $x \in PE(K_v)$. Thus – employing again the notation of (4) – we have that $\iota(x)$ is locally trivial at (π) ,

hence so also is $\text{Li}(j(\xi), x)$, implying that the restriction of $\iota(x)$ to (π) is trivial. It follows that the (π) -component of $\text{Li}(j(\xi), x)$ and hence also $\Delta_I(j(\xi))$ are trivial. Thus $\Delta_I(j(\xi)) = (I/P)\Delta_P(\xi)$ is locally trivial at all places except possibly at (π') , and by the reciprocity law and Hasse principle in the Brauer group of a local field this implies that it is globally trivial— $\Delta_I(j(\xi)) = 0$ —a contradiction.

Finally, we claim that the image η of ξ under $H^1(K, E[P]) \rightarrow H^1(K, E)[P]$ is locally trivial away from v and v' . First let w be a bad prime. Then, by construction, $\pi' \in K_w^{\times P}$ so $\xi|_{K_w} = 0$; *a fortiori* $\eta_w = 0$. Now suppose $w \neq v, v'$ is a good prime. Let K_w^{unr} be the maximal unramified extension of K_w . Recall that the restriction map $H^1(K_w, E)[P] \rightarrow H^1(K_w^{\text{unr}}, E)[P]$ is injective [LT, Cor. 1]; this follows, for instance from the triviality of WC-groups over finite fields together with the fact that formation of the Néron model of a genus one curve commutes with unramified base change. Since $K_w((\pi')^{\frac{1}{P}})/K_w$ is unramified, ξ trivializes over K_w^{unr} . But this implies that $\zeta|_{K_w^{\text{unr}}} = 0$ and hence that $\eta|_{K_w} = 0$. This completes the proof of Theorem 1.

3.3. Proof of Theorem 2: preliminaries.

First, we wish to reduce to Theorem 1, i.e., to the case where $E[P^*]$ has trivial Galois module structure. To this end we introduce the splitting field $K_P = K(E[P^*])$ of the P^* -torsion. We will construct classes θ_n in $H^1(K_P, E[P])$ in a similar manner as in the proof of Theorem 1, then we will set $\xi_n = \text{cores}_{K_P/K} \theta_n$, and let η_n be the image of ξ_n in $H^1(K, E)$. In order to prove that the η_n have the right properties, we will need to compute $\text{res}_{K_P/K} \xi_n = \text{res} \circ \text{cores} \theta_n$ explicitly.

In the following, let \langle, \rangle denote the P -Hilbert symbol on $(K_P^\times / K_P^{\times P})^2$.

3.4. Proof of Theorem 2: choosing pairs of primes.

In this section, we choose pairs of primes in a similar manner as in Lemma 13. The main difference is that we wish to choose an infinite sequence of pairs of primes v_i, v'_i in K_P inductively. We will require conditions which are similar, and in some cases identical, to (SC1)–(SC4). These conditions are as follows:

- (SC1') The primes $v_i = (\pi_i)$ and $v'_i = (\pi'_i)$ are principal, with totally positive generators π_i and π'_i .
- (SC2') Let \tilde{v} and \tilde{v}' be primes of K lying below v_i and v'_i respectively (for fixed i). Then all elements of $E(K)$ are P -divisible in $E(K_{\tilde{v}})$ and in $E(K_{\tilde{v}'})$.
- (SC3') The generators π_i and π'_i lie in $(K_P)_w^{\times P}$ for all bad primes w and for $w = v_j, v'_j$ where $j < i$.
- (SC4') The order of the image of π'_i in $(K_P)_{v_i}^\times / (K_P)_{v_i}^{\times P}$ is P . Additionally, $\sigma \pi'_i$ lies in $(K_P)_{v_i}^{\times P}$ for all nontrivial $\sigma \in \text{Gal}(K_P/K)$.
- (SC5') The primes \tilde{v}, \tilde{v}' split completely in K_P .

Lemma 14. *There exist $v_i = (\pi_i), v'_i = (\pi'_i)$ satisfying conditions (SC1')–(SC5').*

Proof. We argue inductively: suppose that we have chosen v_j, v'_j for $j < i$. Let \mathfrak{m} be the modulus given by the product of all bad primes in K , P^2 , and all σv_j and $\sigma v'_j$ for $j < i$, $\sigma \in \text{Gal}(K_P/K)$. Let F be the compositum of $K_P([P]^{-1}E(K))$ and the \mathfrak{m} -ray class field of K_P . Note that \mathfrak{m} is rational over K , so F is Galois over K .

As before, F is an abelian extension of K_P . By the Chebotarev density theorem, there exists a prime \tilde{v} of K which splits completely in F . Let v_i be any prime of K_P which lies over \tilde{v} . Then, provided (SC5') holds, the same reasoning as in Lemma 13 shows that v_i satisfies all the conditions. (We need (SC5') only for condition (SC2'), for otherwise we know only that $E(K_P)$ is P -divisible in $E((K_P)_{v_i})$.)

For simplicity, write v in place of v_i . Let β be a unit in $(K_P)_v$ which has order P in $(K_P)_v^\times / (K_P)_v^{\times P}$. By the Chinese Remainder Theorem, there exists $\alpha \in K_P$ such that

$$(9) \quad \begin{aligned} \alpha &\equiv \beta \pmod{v} \\ \alpha &\equiv 1 \pmod{\sigma v} \quad \forall \sigma \in \text{Gal}(K_P/K), \sigma \neq 1. \end{aligned}$$

Let F' be the ray class field for K_P with modulus $\mathfrak{m}' = \prod \sigma v$. Again, \mathfrak{m}' is rational over K , so that F' is Galois over K . Let $C_{\mathfrak{m}'}$ be the class group for K_P with modulus \mathfrak{m}' . The Artin reciprocity map gives an isomorphism $C_{\mathfrak{m}'} \rightarrow \text{Gal}(F'/K_P)$. Let $\gamma_{F'}$ be the image of (α) under this isomorphism. Since $F \cap F'$ is contained in the Hilbert class field of K_P and (α) is principal, there exists $\gamma \in \text{Gal}(FF'/K_P)$ such that $\gamma|_{F'} = \gamma_{F'}$ and $\gamma|_F$ is the identity. Since FF' is Galois over K , we view $\text{Gal}(FF'/K_P)$ as a subgroup of $\text{Gal}(FF'/K)$. Let $[\gamma]$ be the conjugacy class of γ in this larger Galois group. By Chebotarev, there exists a prime \tilde{v}' of K such that any Frobenius associated to \tilde{v}' in the extension FF'/K lies in $[\gamma]$. Let v'_i be a prime of K_P lying over \tilde{v}' . By replacing v'_i by a conjugate if necessary, we may assume that the Frobenius of v'_i in the extension FF'/K_P is precisely γ (the extension here is abelian, so saying “the” Frobenius makes sense). By the same arguments as in Lemma 13, v'_i satisfies the first three conditions.

One sees that $\pi'_i \equiv \alpha \pmod{(\pi_i)}$, so that the order of π'_i in $(K_P)_{v_i}^\times / (K_P)_{v_i}^{\times P}$ is P . Also, $\pi'_i \equiv 1 \pmod{(\sigma \pi_i)}$ for nontrivial σ , so that $\sigma \pi'_i \equiv 1 \pmod{(\pi_i)}$. Therefore v'_i satisfies condition (SC4').

Any Frobenius associated to \tilde{v}' in the extension K_P/K is trivial, so that \tilde{v}' splits in K_P , thus satisfying (SC5'). \square

3.5. Proof of Theorem 2: corestrictions.

As in the proof of Theorem 1, a choice of basis for $E[P]$ yields an isomorphism

$$\Phi : (K_P^\times / K_P^{\times P})^2 \rightarrow H^1(K_P, E[P]).$$

Let θ_n be either $\Phi(\pi_n, \pi'_n)$ or $\Phi(\pi_n, 1)$, i.e., we will need to consider both cases. Let cores be the corestriction map

$$H^1(K_P, E[P]) \rightarrow H^1(K, E[P]),$$

and write $\xi_n = \text{cores } \theta_n$. In order to prove Theorem 2, we would like to compute $\Delta_P(\xi_n - \xi_m)$ as well as the period of $(\xi_n - \xi_m)$. To do this, we will instead compute the obstruction and period of $\text{res}(\xi_n - \xi_m)$, where res is the restriction map

$$H^1(K, E[P]) \rightarrow H^1(K_P, E[P]).$$

Both res and cores are \mathbb{Z} -linear, so it will suffice to compute $\text{res} \circ \text{cores}(\Phi(\pi_n, 1))$ and $\text{res} \circ \text{cores}(\Phi(1, \pi'_n))$.

Let $\text{Nm} \in \text{End}(\text{H}^1(K_P, E[P]))$ be given, on the level of cocycles, by

$$\text{Nm}(\theta)(\sigma) = \sum_{\overline{\gamma} \in \text{Gal}(K_P/K)} \gamma \cdot \theta(\gamma^{-1}\sigma\gamma),$$

where γ is a fixed lift of $\overline{\gamma}$ to \mathfrak{g}_K . Since $E[P]$ is rational over K_P , there is a unique cocycle in each cohomology class, so that Nm is well-defined as an endomorphism of $\text{H}^1(K_P, E[P])$.

Lemma 15. *If $\theta \in \text{H}^1(K_P, E[P])$, then $\text{res} \circ \text{cores} \theta = \text{Nm} \theta$.*

Proof. The lemma follows from the definition of cores on $\text{H}^0(K_P, E[P])$ and dimension shifting; see for example [Ser, p.119]. \square

In the remainder of this section, we drop the subscript n .

Lemma 15 shows that $\text{res} \circ \text{cores}(\Phi(\pi, 1)) = \text{Nm}(\Phi(\pi, 1))$. Unfortunately, Nm and Φ do not commute, as the Galois actions on $E[P]$ and $\mu_P \times \mu_P$ differ. The representation on $E[P]$ gives, with respect to our fixed basis, a homomorphism

$$\begin{aligned} \text{Gal}(K_P/K) &\rightarrow \text{GL}_2(\mathbb{Z}/P\mathbb{Z}) \\ \sigma &\mapsto M_\sigma = \begin{pmatrix} i(\sigma) & j(\sigma) \\ k(\sigma) & \ell(\sigma) \end{pmatrix}. \end{aligned}$$

Then we have

Proposition 16. *Let $\sigma \in \text{Gal}(K_P/K)$ and $(a, b) \in (K_P^\times/K_P^{\times P})^2$. Then*

$$\Phi(a, b)^\sigma = \Phi\left(\frac{M_\sigma}{\det M_\sigma}(\sigma a, \sigma b)\right),$$

where $M_\sigma(a, b)$ is given by the natural action of $\text{GL}_2(\mathbb{Z}/P\mathbb{Z})$ on $(K_P^\times/K_P^{\times P})^2$; that is, $M_\sigma(a, b) = (a^{i(\sigma)}b^{j(\sigma)}, a^{k(\sigma)}b^{\ell(\sigma)})$.

Proof. Our choice of basis for $E[P]$ gives rise to a group isomorphism

$$\rho: E[P] \rightarrow \mu_P \times \mu_P.$$

Define a $\mathbb{Z}[\text{Gal}(K_P/K)]$ -module $(\mu_P \times \mu_P)_\rho$ which, as a \mathbb{Z} -module, is $\mu_P \times \mu_P$, but which possesses a Galois structure making ρ into a $\text{Gal}(K_P/K)$ -equivariant map. In particular, if $(\zeta_1, \zeta_2) \in (\mu_P \times \mu_P)_\rho$ and $\sigma \in \text{Gal}(K_P/K)$, we have

$$\begin{aligned} \rho \circ \sigma \circ \rho^{-1}(\zeta_1, \zeta_2) &= \sigma(\zeta_1, \zeta_2) \\ &= M_\sigma(\zeta_1, \zeta_2). \end{aligned}$$

On the other hand, for $(\zeta'_1, \zeta'_2) \in \mu_P \times \mu_P$ the Galois action is

$$\sigma(\zeta'_1, \zeta'_2) = \det M_\sigma \cdot (\zeta'_1, \zeta'_2),$$

where the action on the right is the diagonal action of $\mathbb{Z}/P\mathbb{Z}$.

Let $i: \mu_P \times \mu_P \rightarrow (\mu_P \times \mu_P)_\rho$ be the canonical group isomorphism; it does not respect the $\text{Gal}(K_P/K)$ -action. If A is any G_{K_P} -module, write $\text{H}^1(A)$ for $\text{H}^1(K_P, A)$. Then i induces a map

$$i_* : H^1(\mu_P \times \mu_P) \rightarrow H^1((\mu_P \times \mu_P)_\rho).$$

Let M be either $(\mu_P \times \mu_P)_\rho$ or $\mu_P \times \mu_P$. Since in either case M is a trivial G_{K_P} -module, the set of coboundaries $B^1(K_P, M)$ is zero, and so $H^1(K_P, M) = Z^1(K_P, M)$, the set of 1-cocycles from G_{K_P} to M . We can therefore identify cohomology classes with cocycles in both cases.

Consider the commutative diagram

$$(10) \quad \begin{array}{ccc} (K_P^\times / K_P^{\times P})^2 & \xrightarrow{\psi} & H^1(\mu_P \times \mu_P) \\ & \searrow \psi_\rho & \downarrow i_* \\ & & H^1((\mu_P \times \mu_P)_\rho) \xrightarrow{\lambda} H^1(E[P]) \end{array} .$$

The horizontal maps are $\text{Gal}(K_P/K)$ -isomorphisms. The map λ is induced by $(i \circ \rho)^{-1}$, and ψ is the Kummer map. The diagonal map ψ_ρ is $\psi \circ i_*$. Thus, $\Phi = \lambda \circ \psi_\rho$. Note that \mathfrak{g}_K acts on all of the groups in (10) through its quotient $\text{Gal}(K_P/K)$. Let γ be an element of \mathfrak{g}_{K_P} and σ an element of \mathfrak{g}_K . Then

$$(11) \quad \begin{aligned} [\psi_\rho(a, b)]^\sigma(\gamma) &= [i_*\psi(a, b)]^\sigma(\gamma) \\ &= \sigma[i(\psi(a, b)(\sigma^{-1}\gamma\sigma))] \\ &= \sigma[i(\sigma^{-1}\sigma\psi(a, b)(\sigma^{-1}\gamma\sigma))] \\ &= \sigma[i(\sigma^{-1}\psi(\sigma a, \sigma b)(\gamma))] \\ &= M_\sigma[(i(\det M_\sigma^{-1} \cdot \psi(\sigma a, \sigma b)(\gamma))] \\ &= \frac{M_\sigma}{\det M_\sigma}[i(\psi(\sigma a, \sigma b)(\gamma))] \\ &= \frac{M_\sigma}{\det M_\sigma}\psi_\rho(\sigma a, \sigma b)(\gamma) \end{aligned}$$

Applying λ on both sides, we obtain the result. \square

Corollary 17. *We have*

$$\text{Nm } \Phi((a, b)) = \Phi \left(\prod \sigma a^{i(\sigma)} \sigma b^{j(\sigma)}, \prod \sigma a^{k(\sigma)} \sigma b^{\ell(\sigma)} \right),$$

where the product extends over all $\sigma \in \text{Gal}(K_P/K)$.

Let $(c, d) = \Phi^{-1} \text{Nm } \Phi(\pi, 1)$ and $(c', d') = \Phi^{-1} \text{Nm } \Phi(1, \pi')$.

Lemma 18. *Let v be the place of K_P corresponding to π . Either $\# \langle c, d \rangle_v = P$ or $\# \langle cc', dd' \rangle_v = P$.*

Proof. If $\# \langle c, d \rangle = P$, then we are done. So suppose that $\# \langle c, d \rangle < P$. In fact, since P is a prime power, the order strictly divides P .

Expanding out the Hilbert symbol, we get

$$\langle cc', dd' \rangle = \langle c, d \rangle + \langle c, d' \rangle + \langle c', d \rangle + \langle c', d' \rangle.$$

We have $\langle c, d' \rangle_v = \langle c', d' \rangle_v = 0$ since all are v -adic units. By our assumption at the start of the proof, $\langle c, d \rangle_v$ has order strictly dividing P . That leaves $\langle c', d \rangle_v$. By Corollary 17, $c' = \pi' \cdot \prod_{\sigma \neq 1} (\sigma \pi')^{e_\sigma}$ for some integers e_σ . Our choice of π' implies that $\pi' \equiv \alpha \pmod{(\pi)}$, where α was chosen to have order P in $K_v^{\times P}$, while $\sigma \pi' \equiv 1 \pmod{(\pi)}$ for nontrivial σ (see (9)). Thus $c' \equiv \alpha \pmod{(\pi)}$. Therefore $K_v(c'^{1/P})/K$ is the unramified extension of degree P . (Equivalently, we may appeal to condition (SC4').)

We now use similar reasoning as in the proof of Theorem 1 to see that $\langle c', \pi \rangle_v$ has order P . Since $v(d) = 1$, the order of $\langle c', d \rangle_v$ is exactly P . This shows $\langle cc', dd' \rangle_v$ has exact order P . \square

If $\langle c, d \rangle$ has order P , let $\theta = \Phi(\pi, 1)$, so that $\xi = \text{cores } \theta$ satisfies $\text{res } \xi = \text{Nm } \Phi(\pi, 1) = \Phi(c, d)$. Otherwise, let $\theta = \Phi(\pi, \pi')$, so that $\text{res } \xi = \Phi(cc', dd')$. Let (a, b) denote whichever pair we've chosen, (c, d) or (cc', dd') .

Let us now reintroduce subscripts, so that

$$\begin{aligned} \xi_n &= \text{cores } \theta_n \\ &= \begin{cases} \text{cores } \Phi(\pi_n, \pi'_n) & \text{or} \\ \text{cores } \Phi(\pi_n, 1) \end{cases} \\ (a_n, b_n) &= \Phi^{-1} \text{res } \xi_n. \end{aligned}$$

Lemma 19. *Let $0 \leq m < n$. Then $\Delta_P(\text{res}(\xi_m - \xi_n))$ has order P at v_m .*

Proof. Write v for v_m . Since $E[P^*] \subset E(K_P)$, the obstruction map can be computed using the Hilbert symbol. Thus we wish to compute the order of

$$\left\langle \frac{a_m}{a_n}, \frac{b_m}{b_n} \right\rangle_v.$$

By the bilinearity of the Hilbert symbol, it suffices to compute

$$\langle a_m, b_m \rangle_v - \langle a_m, b_n \rangle_v - \langle a_n, b_m \rangle_v + \langle a_n, b_n \rangle_v.$$

By Lemma 18, the first term has order P . Since a_n, b_m and b_n are all units at v , the last two terms are zero. That leaves the term $\langle a_m, b_n \rangle_v$. By Corollary 17, b_n is a product of $\sigma \pi_n$ and $\sigma \pi'_n$. By condition (SC3'), these all lie in $K_v^{\times P}$. Therefore the second term is also zero. The Lemma follows. \square

3.6. Proof of Theorem 2: conclusion.

Let C be the curve represented by the class $\xi := \xi_i - \xi_j$ for some $i \neq j$. Clearly, $P(C) \mid P$. If we can show that $I(C) = P^2$, then by (1) we must have $P(C) = P$.

Since $E[P] \subset E(K_P)$ (and $E[2P] \subset E(K_P)$ when P is even), the obstruction map on $H^1(K_P, E[P])$ is given by the Hilbert symbol. By Lemma 19, $\Delta_P(\text{res}_{K_P/K} \xi)$ has order P at v_i . Therefore $\Delta_P(\xi)$ has order P at the prime w satisfying $v_i \mid w$.

Suppose that C has index $P \cdot D$ for some $D \mid P$. Then there exists some $\eta \in H^1(K, E[PD])$ representing C such that $\Delta_{PD}(\eta) = 0$. Let j be the natural map $H^1(K, E[P]) \rightarrow H^1(K, E[PD])$. The classes η and $j(\xi)$ represent the same curve C , so there exists some $x \in E(K)$ such that $\eta = j(\xi) + \iota_{PD}(x)$. Since $\Delta(\iota(x)) = 0$, by the remarks at the start of Section 2.1,

$$\Delta_{PD}(\eta) = \Delta_{PD}(j(\xi)) + \text{Li}(\eta, x).$$

Recall that $\text{Li}(\eta, x)$ is the Tate pairing. Let us consider this equality locally, at w . The left hand side is zero by hypothesis. By condition (SC2'), x lies in $P \cdot E(K_w)$. Since $P(C) \mid P$, the Tate pairing at w is trivial. Hence $\Delta_{PD}(j(\xi))$ must be zero at w . But by Proposition 6,

$$\Delta_{PD}(j(\xi)) = D\Delta_P(\xi).$$

We showed earlier that $\Delta_P(\xi)$ has order P at w . Therefore $D = P$, and so $I(C) = P^2$.

Let η_i be the image of ξ_i in $H^1(K, E)$. It remains to show that $\text{res}_v \eta_i = 0$ for $v \in S_K$. Recall that $\eta_i = \text{cores } \Phi(\pi_i, 1)$ or $\text{cores } \Phi(\pi_i, \pi'_i)$. For $w \mid v$ a place of K_P , the proof of Theorem 1 showed that the curves corresponding to $\Phi(\pi_i, 1)$ and $\Phi(\pi_i, \pi'_i)$ were trivial at w . But the corestriction map induces a homomorphism

$$\oplus_{w \mid v} H^1((K_P)_w, E) \rightarrow H^1(K_v, E)$$

which proves that η_i is trivial at v . This completes the proof of Theorem 2.

3.7. Proof of Theorem 3. Recall the following two “classical” instances of period equals index.

- (i) (Lang-Tate [LT]) F is the completion of a global field at a place v , $E = \text{Jac}(C)$ has good reduction, and v does not divide the period of C ; and
- (ii) (Cassels [CasV]) F is global and $C \in \text{III}(F, E)$.

Note that Lichtenbaum showed that $P = I$ for all genus one curves defined over the completion of a global field. However, the result of Lang and Tate, apart from being more elementary, is also more precise: they show also that a finite extension field F'/F splits a genus one curve C/K if and only if the period P of C divides the relative ramification index $e(F'/F)$. This will be used in the proof.

Take S to be the union of the infinite places, the finite places which divide P and the places of bad reduction for E . Let $\{\eta_i\}_{i=0}^\infty$ be the sequence of places constructed in 2. We will show that for any positive integer r , there exists a degree P field extension L/K such that the classes are pairwise distinct, locally trivial, and of period P .

Indeed, let $S_r = \bigcup_{i=1}^r \text{supp}(\eta_i)$. We have $S_r \cap S = \emptyset$, so that each $v_i \in S_r$ is a finite place of good reduction for E and residue characteristic prime to P .

For each $v_i \in S_r$, let L_i/K_{v_i} be a totally ramified extension of degree P . There exists a degree P global extension $L = L(r)$ of K such that for all $v_i \in S_r$, $L \otimes_K K_{v_i} \cong L_i$.⁴

⁴This is a standard weak approximation / Krasner’s Lemma argument: c.f. [Cla1, p. 2].

By the results of Lang and Tate cited above, $\eta_i|_L$ is locally trivial. Moreover, since $\eta_i = \eta_i - \eta_0$ has index P^2 and L/K is a degree P extension, $I(\eta_i|_L) \geq P$. But on the other hand, by (ii) above, $I(\eta_i|_L) = P(\eta_i|_L) \mid P(\eta_i) = P$, so for all i , $1 \leq i \leq r$, $\eta_i|_L$ has period and index equal to P .

The only worry is that their restrictions are not distinct. But suppose that $\eta_i|_L = \eta_j|_L$. Then $\eta_i - \eta_j$ would lie in the kernel res_L . This would imply that $I(\eta_i - \eta_j) \mid P$, which we have arranged not to be the case.

3.8. Remarks about ramification.

The proof of Corollary 3 differs from that of [Cla1, Theorem 1] in that we explicitly make use of extensions L/K that are ramified at many primes. Given our strategy of proof, this is unavoidable: using the result (i) of Lang-Tate cited above, the number of order P elements in $\text{res}_L(H^1(K, E)) \cap \text{III}(L, E)$ can be bounded in terms of the number of ramified primes of L/K . It is interesting to ask whether this same boundedness result holds for order P elements in $\text{III}(L, E)$, and conversely, whether the number of order P elements of $\text{III}(L, E)$ necessarily approaches infinity with the number of ramified primes.

Both of these questions have affirmative answers when $P = 2$, according to work of H. Yu [Yu]. Given a quadratic extension L/K , Yu computes the order of the kernel and cokernel of the natural map $\text{III}(K, E) \oplus \text{III}(K, E^\chi) \rightarrow \text{III}(L, E)$; here E^χ is the twist of E/K by the quadratic character χ of L/K . In particular, one can deduce Theorem 3 for $P = 2$ from H. Yu's work, with one caveat: his analysis is conditional on the finiteness of $\text{III}(K, E)$. That the existence of an infinite subgroup of $\text{III}(K, E)$ would hamper our ability to show that $\text{III}(L, E)[2]$ is large is somewhat curious, but seems to be the true state of affairs.

The consistency of Theorem 3 with the results of [Yu] might thus be regarded as some confirmatory evidence for the finiteness of Shafarevich-Tate groups. How seriously such evidence ought to be taken is, of course, up to the reader to decide.

4. FURTHER PROBLEMS

Whereas in §1.3 we looked into the history of the period-index problem for genus one curves, in this final section we wish to look forward, by identifying and discussing some problems that remain open.

We assume that E/K is an elliptic curve and $P \mid I \mid P^2$ are positive integers. However, we now allow the characteristic of K to divide P .

Problem 1. *Find necessary and sufficient conditions on E and K such that there exist infinitely many $\eta \in H^1(K, E)$ such that $P(\eta) = P$, $I(\eta) = I$. In particular, determine whether this holds for every elliptic curve over an infinite, finitely generated field.*

Of course our Theorem 2 answers this question under certain, rather restrictive, hypotheses. The case of $P = 2$ over a global field of characteristic different from 2 is handled in [Cla4], whereas in [Sha2] an analogue of Theorem 2 is proved under weaker hypotheses on the Galois module structure of $E[P^*]$: it is sufficient for K

to contain the (P^*) th roots of unity (i.e., that $\bigwedge^2 E[P^*]$ be a trivial Galois module) *or* to contain a K -rational order P^* cyclic subgroup scheme.

In general, we have found it significantly easier to construct examples with $I = P^2$ rather than $I < P^2$. The following problem is motivated by a desire to show that this is the true state of affairs.

Problem 2. *Show that “most” genus one curves of period P have index P^2 .*

To be sure, part of the problem is to find a precise statement. The interpretation we have in mind involves first constructing a “versal” parameter space \mathcal{S}_P for curves of genus one and period P over K . In other words, \mathcal{S}_P is a representable functor from the category of field extensions L/K to the category of sets, together with functorial and surjective maps from L/K to the set of isomorphism classes of genus one curves of period P . For instance, \mathcal{S}_2 can be taken to be an open subset U of \mathbb{A}^8 , and then a versal family is the subset of $U \times \mathbb{P}^3$ given by the system

$$t_1X^2 + t_2Y^2 = Z^2, \quad W^2 = t_3X^2 + t_4XY + t_5XZ + t_6Y^2 + t_7YZ + t_8Z^2.$$

Then one could construe Problem 2 either as saying that the generic point of \mathcal{S}_P has index P^2 , or as saying that the set of K -rational points of \mathcal{S}_P for which $I < P^2$ is somehow sparse.

Problem 3. *Show that $\Delta_P(H^1(K, E[P]))$ consists of Brauer classes with period equals index (and perhaps even of cyclic algebras).*

Problem 4. *Construct an analogue of O’Neil’s period-index obstruction map when P is a power of the residue characteristic.*

If K is perfect, then this is not very interesting: taking $E[P]$ in the naive sense—i.e., as the sub-Galois module of $E(\overline{K})$ consisting of elements killed by P —the Kummer sequence

$$0 \rightarrow E[P] \rightarrow E(\overline{K}) \rightarrow E(\overline{K}) \rightarrow 0$$

still holds, and since $\#E[P] \mid P$, every lift of $\eta \in H^1(K, E)[P]$ to $\xi \in H^1(K, E(\overline{K}))$ can be split by a degree P extension, i.e., $P = I$ in this case. Alternately, it is known that when K is perfect of characteristic $p > 0$, $\text{Br}(K)[p^\infty] = 0$.

In the nonperfect case, multiplication by P will not be surjective on $E(\overline{K})$ —remember that \overline{K} denotes the *separable* closure!—so that Kummer theory is inapplicable. One can check that definitions (2) and (3) of the period-index obstruction map go through in this case, although if one insists on Galois cohomology definition (1) breaks down.

Nevertheless, Mumford went to some trouble to present a theory of theta group *schemes* which remains valid in all (odd) characteristics: \mathcal{G}_L is in general an extension of the finite flat group scheme $E[P]$ by \mathbb{G}_m . One should still be able to define a map $\Delta : H^1(K, E[P]) \rightarrow H^2(K, \mathbb{G}_m)$, where the cohomology is now *flat* cohomology. What remains open in this case is the explicit computation of Δ .⁵ The relation with Problem 3 in this case seems especially interesting.

⁵In fact we have some preliminary results in this direction, including a new “cohomological symbol” coming from supersingular elliptic curves.

Problem 5. *Decide whether the sequence (2) is always split.*

As we discussed in §2.4, this is an absolutely fundamental question: it is equivalent to the tightest possible relationship between the obstruction map Δ_P and the period-index discrepancy $\frac{I}{P}$, since the conjecture holds if and only if we have equality in (6). In this latter form the problem is closely related to a question asked by O’Neil at the end of §2 of [O’N].

Problem 6. *Explore relations with period-index problems for curves of higher genus and for torsors of higher-dimensional abelian varieties.*

The prior work [Cla3] considers the case of torsor under abelian varieties. Some of the methods of the present work could be adapted to the higher-dimensional case: for instance, Theorem 3 should hold for abelian varieties over global fields which are principally polarized and have trivial Galois action on their Néron-Severi group (with essentially the same proof). But the precise relation between the quantity I/P and the period-index obstruction map is, as yet, more mysterious in the higher-dimensional case.

Perhaps the most important open problem is to relate the period-index problem on a curve C of higher genus g to the period-index problem on its Jacobian abelian variety. In particular, can $I(C)/P(C)$ be computed via some cohomological obstruction map?

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